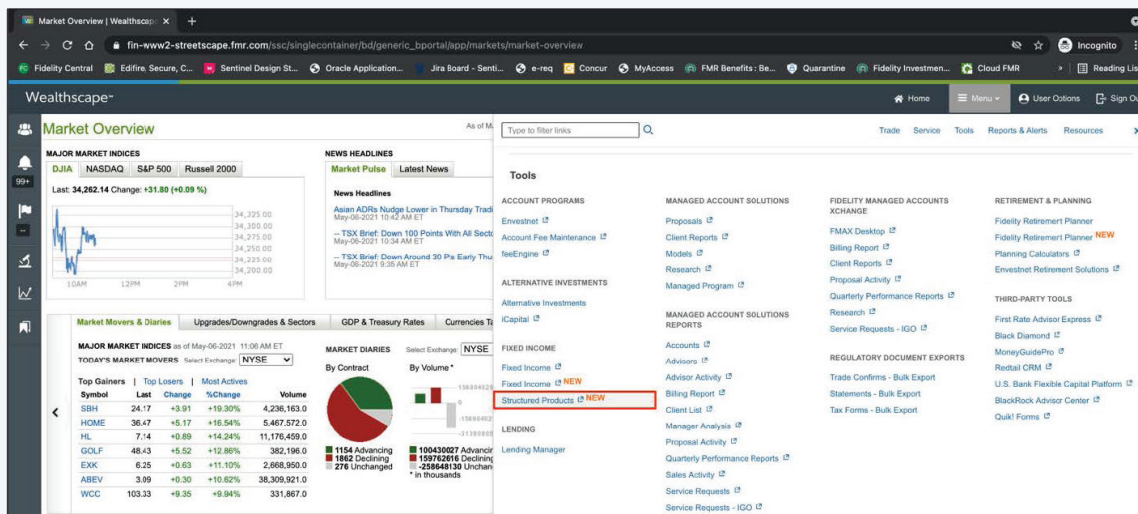


Seamless Order Entry Workflow via Fidelity Bond Beacon®

Enter orders for your structured notes business across all issuers from Fidelity Bond Beacon® via iCapital. Order entry enables you to enter new orders, track the status of pending orders, and manage your existing book of business all in one centralized location.

Get started

You can directly access Fidelity Bond Beacon® via iCapital through *Fidelity Wealthscape > Tools > Structured Products* ^{New}.



Opening an order ticket in iCapital

From the Marketplace, select **Buy Note**. From there, a Fidelity Bond Beacon® order ticket will open an iframe window.

I verify that the prospectus for this investment has been shared with the client(s) on whose behalf I am submitting the IOI.

To submit an indication of interest, enter your order details below. In doing so, you will be leaving SIMON and entering the Fidelity Bond Beacon® website.

Fidelity Bond Beacon® | Buy Order Ticket

Note: You are now interacting with the Fidelity Bond Beacon® website. If your firm has opted into Beacon Structured Products Review, all Structured Products IOIs will need to be approved on the Fidelity Bond Beacon® order monitor in order to be processed. The deadline for placing, and approving, Structured Products trades is T minus 1. Trades that have not been approved by T minus 1 will be rejected. The Trade Date can be seen on the details page above this order ticket.

All fields are required unless otherwise noted.

Accepting Rep: ABC DEF Pay-To Rep (Optional): Accepting Date: 29-Sep-2022 Accepting Time: 4:04:22 PM EDT Order Condition: Unsolicited Discretion: N/A

Client/Block Account: 033-054126 Account #: Account Type: Cash Misc Fee: Default Waive Trans Fee: Yes

Primary Account Holder: BEACON, FIDELITY Cash Available: \$8,388.37 Total Account Value: \$9,111.72

Buy/Sell	CUSIP	Quantity	Price	Time in Force	Trade Date	Settlement Date	Description
Buy	48133M3E8		\$ 100,000	GTC	30-Sep-2022	05-Oct-2022	JPMORGAN CHASE FINANCIAL COMPANY LLC, 1Y, JPU3KRN5,

92435.170 Cancel Order SEC.gov | Investor Bulletin: Structured Notes IMPORTANT: Information About Structured Product IMPORTANT: Info

Issuer	Product	Underlier	Term	Protectio	Payment Details	Comp. Type	Books Close	Trade Date
	Market Linked Growth Note	LESSOR OF INDU / NDX	FIXED 3Y	BARRIER (MATURITY OBS.) 25% MAX LOSS 100%	Upside Participat Absolute Return	Offering Doc	Buy Note	View Details
	Callable Market Linked Growth Note	LESSOR OF NDX / RTY	CALLABLE 3Y NON-CALL	BARRIER (MATURITY OBS.) 30% MAX LOSS	Contingency Initial Call Premium	Fee Based	05/27/2021 12:00 PM EDT	05/27/20 21

Executing an order

From the edit screen, input the order condition, discretion, account, and quantity. Select *Preview* and complete the structured product attestation. Once all applicable attestations have been completed, select *Submit*.

Fidelity Bond Beacon™ | Buy Order Ticket: Preview

Note: You are now interacting with the Fidelity Bond Beacon™ website. If your firm has opted into Beacon Structured Products Review, all Structured Products IOIs will need to be approved on the Fidelity Bond Beacon™ order monitor in order to be processed. The deadline for placing, and approving, Structured Products trades is T minus 1. Trades that have not been approved by T minus 1 will be rejected. The Trade Date can be seen on the details page above this order ticket.

Accepting Rep	Pay-To Rep (Optional)	Accepting Date	Accepting Time	Order Condition	Discretion
ABC	--	29-Sep-2022	4:04:22 PM EDT	Unsubmitted	N/A

Client/Block Account	Account #	Account Type	Misc Fee	Wave Trans Fee
Client Account	033-054126	Cash	\$3.50	Yes

Primary Account Holder: BEACON, FIDELITY
 Cash Available: \$8,388.37
 Total Account Value: \$9,111.72

Buy/Sell	CUSIP	Quantity	Price	Time in Force	Trade Date	Settlement Date	Description	Coupon (Coupon Type)	Maturity D
Buy	48133M3E8	1	\$ 103.000	OTC	30-Sep-2022	05-Oct-2022	JPMORGAN CHASE FINANCIAL COMPANY LLC, 1Y, JPUSKRNS, Marke...	0.000000	06-Oct-22

Warnings:
 Warning: If you submit this order, it will be held for Beacon Structured Products Review in Fidelity Bond Beacon™ on the Order Monitor page [1028 - BEACON].
 Warning: If you submit this order, it will be Held. This submission of this order will require a review [US39 - ECA].
 Warning: Offering Document

I attest that for any Structured Product purchase, I have reviewed the term sheet and/or offering statement. If applicable, I have provided it to my customer.

924336.170
 Cancel Order

Fidelity Bond Beacon™ | Buy Order Ticket: Confirmation

Confirmation: 1 of 1 orders have been submitted.

Note: You are now interacting with the Fidelity Bond Beacon™ website. If your firm has opted into Beacon Structured Products Review, all Structured Products IOIs will need to be approved on the Fidelity Bond Beacon™ order monitor in order to be processed. The deadline for placing, and approving, Structured Products trades is T minus 1. Trades that have not been approved by T minus 1 will be rejected. The Trade Date can be seen on the details page above this order ticket.

Accepting Rep	Pay-To Rep (Optional)	Accepting Date	Accepting Time	Order Condition	Discretion
ABC	--	29-Sep-2022	4:04:22 PM EDT	Unsubmitted	N/A

Client/Block Account	Account #	Account Type	Misc Fee	Wave Trans Fee
Client Account	033-054126	Cash	\$3.50	Yes

Primary Account Holder: BEACON, FIDELITY
 Cash Available: \$8,388.37
 Total Account Value: \$9,111.72

Buy/Sell	CUSIP	Quantity	Price	Time in Force	Trade Date	Settlement Date	Description	Coupon (Coupon Type)	Maturity D
Buy	48133M3E8	1	\$ 103.000	OTC	30-Sep-2022	05-Oct-2022	JPMORGAN CHASE FINANCIAL COMPANY LLC, 1Y, JPUSKRNS, Marke...	0.000000	06-Oct-22

924336.170

Managing an order

After placing your order(s), reopen Fidelity Bond Beacon® and navigate to *Tools > Fixed Income^{New}*. Select an account from *Account Search* to view all orders and held fixed income positions for a specific account. Go to the Bond Beacon menu and select *Order Monitor* to view the status of your orders and any pending orders. Orders that are filled will be reflected in Bond Beacon Holdings.

Fidelity Bond Beacon™

033-054126 | BEACON, FIDELITY | Total Account Value: \$ 9,111.72 | Account Type: Non-Managed Account
 Individual (I) | Cash Avail. to Trade: \$ 8,388.37 | Pricing Mode: Non-Managed Account
 AS OF 29-SEP-2022 3:16:29 PM ET

Orders & Requests for Quotes
 Order Monitor | RFPQ Monitor

Order Monitor
 Search Criteria

Note: If your firm has opted into Beacon Structured Products Review, all Structured Products IOIs will need to be approved on the Fidelity Bond Beacon™ order monitor in order to be processed. Please see the "Approve" or "Reject" buttons below. The deadline for placing, and approving, Structured Products trades is T minus 1. Trades that have not been approved by T minus 1 will be rejected. The Trade Date can be seen on the order monitor below.

Issuance: All | New Issue | Secondary | Group By: Select a Category | Show by Set Date: Today | Show by Date Range: 29-Sep-2022 to 29-Sep-2022 | Search By: Select a Category

Orders (1) | No Selected Row | Generate Order Summary Report | Generate Order Detail Report

Status	Actions	Order Date & Time	Order ID	Account #	Primary Acc Holder
PENDING REVIEW		29-Sep-2022 04:08 PM ET	I29KWWJW	033-054126	ZTESTFIT

Order Details 22272INGM1
 Status: Pending Beacon Review | Buy/Sell: BUY | Quantity: 1 | Description: JPMORGAN CHASE FINANCIAL COMPANY LLC, 1Y, JPUSKRNS, Market Linked Growth Note | Coupon: 0.000000 | Maturity Date: 05-Oct-2023 | CUSIP: 48133M3E8 | Product: Structured Product

Order Configuration | Security Details

- Account Details
 - Account #: 033-054126
 - Account Type: Cash
 - Primary Account Holder: --
- Order Details

Canceling an order

Similarly, if you need to cancel any of your order(s) during the offering period, simply navigate back to *Order Monitor* under the Bond Beacon menu and select *Request Cancel* under the Actions column next to the order you wish to cancel.

Orders & Requests for Quotes
Order Monitor RFQ Monitor

Search Criteria
 Issuance: All New Issue Secondary Group By: Show by Set Date: Show by Date Range: to Search By:

Orders (13) | No Selected Row | AS OF 30-NOV-2023 9:0422 AM ET

<input type="checkbox"/>	Status	Actions	Order Date & Time	Order ID	Account #	Primary Account Holder	Buy/Sell	CUSIP	Description	Product	Coupon Rate (Coupon Type)	Maturity Date	Quar
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	20-Nov-2023 04:00 PM ET	23333GYL41	F55-00032	BALASANJEE	BUY	08745NWJL6	BARCLAYS BANK PLC, 1Y 9M, NDX/RTY/XXLU UP, Callable...	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	20-Nov-2023 03:59 PM ET	23333GYL4X	F55-000138	DEMO	BUY	08745NWJL6	BARCLAYS BANK PLC, 1Y 9M, NDX/RTY/XXLU UP, Callable...	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	PENDING REVIEW		20-Nov-2023 03:59 PM ET	K29BBGNK	F55-000028	BALASANJEE	BUY	08745NWJL6	BARCLAYS BANK PLC, 1Y 9M, NDX/RTY/XXLU UP, Callable...	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	VERIFIED CANCELED		20-Nov-2023 03:58 PM ET	23333GYL4Q	082-013820	BRETON	BUY	08745NWJL6	BARCLAYS BANK PLC SER A 87M, ZERO CPN 0.00000%	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	PENDING REVIEW		20-Nov-2023 09:27 AM ET	K29BBDFR	082-001490	THEODORIC	BUY	08745NWJL6	BARCLAYS BANK PLC, 1Y 9M, NDX/RTY/XXLU UP, Callable...	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	20-Nov-2023 09:19 AM ET	23333GYI2B	082-016900	HAMMEL	BUY	08745NWJL6	BARCLAYS BANK PLC, 1Y 9M, NDX/RTY/XXLU UP, Callable...	Structured P...	0.010625 (Contingent)	03-Sep-2025	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	21-Nov-2023 04:13 PM ET	23325GYI2D	082-013820	BRETON	BUY	08375MVK49	BANK OF MONTREAL, 3Y, RTY, Callable Market Linked Income...	Structured P...	0.000000 (Zero)	30-Nov-2026	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	21-Nov-2023 04:13 PM ET	23325GYI2B	082-001350	AMHERST	BUY	08375MVK49	BANK OF MONTREAL, 3Y, RTY, Callable Market Linked Income...	Structured P...	0.000000 (Zero)	30-Nov-2026	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	21-Nov-2023 04:13 PM ET	23325GYK19	082-016900	HAMMEL	BUY	08375MVK49	BANK OF MONTREAL, 3Y, RTY, Callable Market Linked Income...	Structured P...	0.000000 (Zero)	30-Nov-2026	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	21-Nov-2023 04:13 PM ET	23325GYK15	082-001490	THEODORIC	BUY	08375MVK49	BANK OF MONTREAL, 3Y, RTY, Callable Market Linked Income...	Structured P...	0.000000 (Zero)	30-Nov-2026	
<input type="checkbox"/>	OPEN	<input type="button" value="Request Cancel"/>	21-Nov-2023 04:13 PM ET	23325GYK13	082-001180	THEODORIC	BUY	08375MVK49	BANK OF MONTREAL, 3Y, RTY, Callable Market Linked Income...	Structured P...	0.000000 (Zero)	30-Nov-2026	
<input type="checkbox"/>	OPEN		15-Dec-2020 08:48 AM ET	20350BLSG7	082-012980	WICKHAM	BUY	D9K8ND470					
<input type="checkbox"/>	OPEN		02-Dec-2020 03:09 PM ET	20337BTC71	082-012980	WICKHAM	BUY	D9K8ND507					

Support

If you would like to schedule a personalized demo, please contact us by email or telephone.

- Email: hello@icapital.com
- Phone: 877-317-4666

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